



TRADITION *wealth management*

How We Use & Protect Personal Information

At Tradition Wealth Management, LLC (“Tradition Wealth Management” or “TWM”), protecting your privacy is important to us. We value your trust and want you to understand what information we collect, how we protect that information, and how we use the information for your benefit. We treat all personal/nonpublic information that identifies you with respect and in accordance with our privacy policy, which appears below.

Information We May Collect

We obtain information, much of which comes directly from you, as we deem appropriate, to provide you with products and services you have requested, and, as we deem appropriate, to determine your eligibility for products or services. To conduct our analysis, we collect and compile financial information (including tax returns, investment statements, etc.) and other related documents that are part of our planning process. We may collect transaction and investment experience from applications. Furthermore, we may collect identification and contact information, such as your name, address, telephone number, social security number, and email address.

Safeguarding Your Information

We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your personal/nonpublic information.

Information We May Disclose & To Whom We May Disclose Information

Tradition Wealth Management will not disclose your personal information to companies or organizations not affiliated with it, unless it is to companies (such as insurance companies and brokers, banks, broker/dealers, and investment advisers) that provide services for you or in the following situations:

- *Providing administrative, customer assistance, clearing, operational, or other services*
- *Preparing, printing, and delivering portfolio management performance reports, confirmation statements, and other documents*
- *Executing securities transactions*
- *Maintaining or developing software for us as well as financial institutions (such as banks, investments advisers, or securities firms) with which we have marketing arrangements to jointly endorse or offer financial products and services*

Disclosures Permitted By Law

We may also disclose all the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies.

Offer of Form ADV

If your investment objective or financial situation should change, please contact Tradition Wealth Management. Please contact Tradition Wealth Management for the most recent Form ADV, Part II.

Contact Information

Tradition Wealth Management
attn: Privacy Statement P: 952.563.6500
7601 France Avenue South, Suite 100 F: 952.252.4693
Edina, MN 55435

privacystatement@traditionwealthmanagement.com

Securities offered through ProEquities, Inc., a Registered Broker/Dealer, Member FINRA/SIPC. Investment Advisory Services offered through Tradition Wealth Management, LLC, a Registered Investment Adviser. Tradition Wealth Management, LLC, is independent of ProEquities, Inc.